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· ·	4. Human SMG-1: Cloning, bio			
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	6. Characterization of cis- and elegans	trans-acting factors required	l for nonsense-mediatedml	RNA decay in Ca
	by O'Connor, Sean Lawrence,	Ph.D., The University of Wis	consin - Madison, 1998, 15	1 pages; AAT 98
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 Genetic analysis and characterization of thesmg genes: Six genes in Caenorhabditis elegans that a stability
 by Pulak, Rock Anthony, Ph.D., The University of Wisconsin - Madison, 1992, 175 pages; AAT 9304175

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L2	301	(LICK or SMG1 or SMG-1).AND kinase	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2006/10/13 07:14
L3	36	(LICK or SMG1 or SMG-1) same kinase	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2006/10/13 07:14
L4	34	(SMG1 or SMG-1) same kinase	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR .	OFF	2006/10/13 07:14
S1	3	"2003228675".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2006/10/13 07:12
S2	2	"20030228675".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2006/02/13 13:31
\$3	2	"20040248244".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2006/02/13 14:24
S4	2	"6344549".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2006/02/13 16:01
S5	2	"6344549".pn.	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2006/02/13 16:01
S6	0	S5 and aminoglycoside	US-PGPUB; USPAT; EPO; JPO; DERWENT	OR	OFF	2006/02/13 16:01

#### EP 1 541 684 A1

SMG-1 Birary Protein

#### INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP03/11353

#### A. CLASSIFICATION OF SUBJECT MATTER

According to International Patent Classification (IPC) or to both national classification and IPC

#### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Jitsuyo Shinan Koho 1926–1996 Toroku Jitsuyo Shinan Koho 1994–2003

Kokai Jitsuyo Shinan Koho 1971–2003 Jitsuyo Shinan Toroku Koho 1996–2003

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
SwissProt/PIR/GeneSeq, Genbank/EMBL/DDBJ/GeneSeq, MEDLINE(STN),
WPIDS(STN), BIOSIS(STN), JICST FILE(JOIS)

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	· Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	WO 02/095025 A1 (Japan Science and Technology Corp.), 28 November, 2002 (28.11.02), & JP 2003-038189 A	1-8
A	EP 1074617 A2 (Helix Research Institute), 07 February, 2001 (07.02.01), & JP 2002-191363 A	1-8
A	WO 02/31111 A2 (HYSEQ, INC.), 18 April, 2002 (18.04.02), & AU 9623501 A	1-8
A	DENNING G. et al., Cloning of a novel phosphatidylinositol kinase-related kinase, J.Biol.Chem., Vol.276, No.25, pages 22709 to 22714, 22 June, 2001 (22.06.01)	1-8

$\boxtimes$	Further documents are listed in the continuation of Box C.		See patent family annex.
"A"	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance	"I"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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P	document published prior to the international filing date but later than the priority date claimed	"&"	document member of the same patent family
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	10 October, 2003 (10.10.03)		28 October, 2003 (28.10.03)
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#### EP 1 541 684 A1

#### INTERNATIONAL SEARCH REPORT.

International application No.
PCT/JP03/11353

<u> </u>	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
ategory*	Citation of document, with indication, where appropriate, of the relevant passages	. Relevant to claim No
	YAMASHITA, A. et al., Human SMG-1, a novel phosphatidylinositol 3-kinase-related protein kinase, associates with components of the mRNA surveillance complex and is involved in the regulation of nonsense-mediated mRNA decay., Genes Dev., Vol.15, No.17, p.2215-28, 01 September, 2001 (01.09.01)	1-8
P,A	CHIU S.Y. et al., Characterization of human Smg5/7a: a protein with similarities to Caenorhabditis elegans SMG5 and SMG7 th at functions in the dephosphorylation of Upf1., RNA, Vol.9, No.1, pages 77 to 87, 2003 January	1-8
A	Akeo YAMASHITA et al., mRNA Surveillance Idenshi Hen'i ni Taisuru Fuhenteki na Saibo no Bogyo Kiko, Protein, Nucleic acid and Enzyme, 01 February, 2002 (01.02.02), Vol.47, No.2, pages 101 to 112	1-8
A	Tetsuo ONISHI et al., Shinki P13K Kanren Kyodai Protein Kinase no Kozo to Kino no Kaiseki, Dai 22 Kai The Molecular Biology Society of Japan Nenkai Program Koen Yoshishu, Dai 22 Kai The Molecular Biology Society of Japan Nenkai Soshiki Iinkai, 22 November, 1999 (22.11.99), page 235, W2I-7	1-8
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#### INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP03/11353

Box I Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. Claims Nos.;
because they relate to subject matter not required to be searched by this Authority, namely:
· ·
2. X Claims Nos.: 9-17
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
Claims 9 to 11 relate to a substance defined by the desired function of "controlling SMGBP1 activity" and thus involve any substances having such
function. However, no specific substance corresponding thereto is presented
in the description. Therefore, claims 9 to 11 (continued to extra sheet)
3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
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As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
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claims.  2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:  4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is

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### INTERNATIONAL SEARCH REPORT

International application No.

PCT/JP03/111353

#### Continuation of Box No.I-2 of continuation of first sheet(1)

are neither supported by the description nor disclosed therein. Even though the common technical knowledge at the point of the application is taken into consideration, it is unknown what specific compounds are involved therein and what are not. Thus, the above claims are described in an extremely unclear manner. The same applies to methods using the above substance and utilization thereof as set forth in claims 12 to 17.

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<u>#15</u>	Search "mrna decay"	07:11:10	<u>1024</u>
<u>#14</u>	Search #12 AND #9	07:10:47	<u>12</u>
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<u>#8</u>	Search LICK or smg1 or smg-1	07:09:14	845
	Search "Smg1 protein, Drosophila" [Substance Name]	07:08:29	<u>3</u>
	Search "SMG-1 protein, C elegans" [Substance Name]	07:08:22	1

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## Search Results for 10/720,460\_20060929

•	(FILE 'HOME' ENTERED AT 07:19:27 ON 13 OCT 2006)
	FILE 'REGISTRY' ENTERED AT 07:19:59 ON 13 OCT 2006 E "SMG-1"/CN 25
L1	1 S E4 OR E5 E "SMG-1"/CN 25 E "SMG1"/CN 25
	FILE 'MEDLINE, AGRICOLA, CAPLUS, BIOSIS, EMBASE, WPIDS' ENTERED AT 07:21:11 ON 13 OCT 2006
L2	100 S (SMG-1 OR SMG1 OR LICK OR L1) AND KINASE
L3	59 DUP REM L2 (41 DUPLICATES REMOVED)
L4	46 S L3 AND HUMAN
L5	5 S L4 AND HELA
	FILE 'CAPLUS' ENTERED AT 07:22:34 ON 13 OCT 2006 E OHNO SH/AU 25
L6	10 S (E11) AND (SMG-1 OR SMG1 OR L1 OR LICK)
L7	4 S L5 NOT L6

# Sex

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2) SEQ ID NO:2 against nucleic acid databases.

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Primary Examiner
Art Unit 1656
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